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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SEC  
Main Processing  
Section

FORM 19b-4(e)

NOV 13 2018

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Cboe BYX Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.
- Class of New Derivative Securities Product:  
See Attached.
- Name of Underlying Instrument:  
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.



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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Anders Franzon

Securities and Exchange Commission  
Trading and Markets

Title: SVP, Deputy General Counsel

NOV 13 2018

Telephone Number:  
913-815-7154

Manual Signature of Official Responsible for Form:

Date:  
November 9, 2018

RECEIVED

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	NOV 13 2018

ATTACHMENT TO FORM 19b-4(e)  
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BYX EXCHANGE, INC. COMMENCING ON  
NOVEMBER 6, 2018

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
PAWZ	Trust	Investment Company Units	FactSet Pet Care Index	SEC Mail Processing Section	Narrow
DURA	Trust	Investment Company Units	Morningstar US Dividend Valuation Index	NOV 13 2018 Washington DC U.S. 406	Broad
GOAT	Trust	Investment Company Units	Morningstar Global Wide Moat Focus Index	World	Broad
DQML	Trust	Investment Company Units	Fundstrat Doctor Quant Model US Large Cap Equal Weighted Long Index	U.S.	Broad
FSMB	Trust	Investment Company Units	Debt Securities	U.S.	Broad
FUMB	Trust	Investment Company Units	Debt Securities	U.S.	Broad



SEC  
Mail Processing  
Section  
NOV 13 2018  
Washington DC  
406

November 9, 2018

Ms. Claudette Ransom  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – Cboe BYX Exchange, Inc.**

Ms. Ransom,

On behalf of Cboe BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding six (6) derivative securities products that commenced trading on Cboe BYX Exchange on November 6, 2018. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
SVP, Deputy General Counsel  
913.815.7154

Securities and Exchange Commission  
Trading and Markets

NOV 13 2018

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